

# Trade Reporting

Bazy i Systemy Bankowe company supports financial institutions for efficient and secure operations, providing them with high-quality IT systems. BSB has competent specialists with many years of experience, thanks to which it offers Customers safe solutions adapted to their needs.



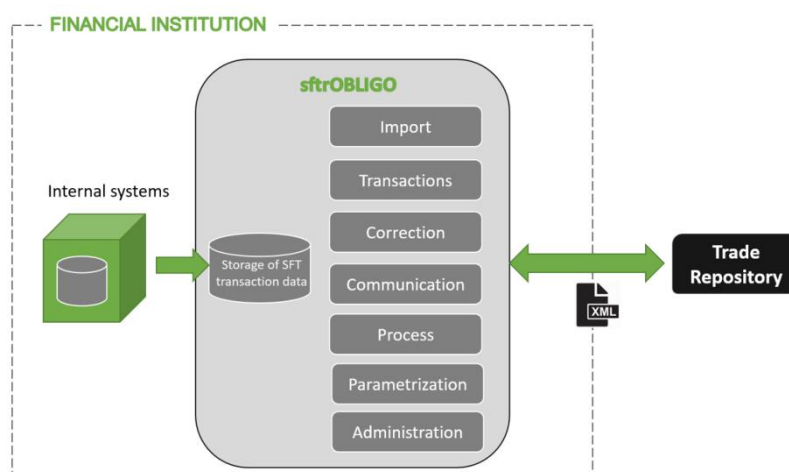
The sftR OBLIGO system is a solution supporting reporting in accordance with the SFTR Regulation (Eng. Securities Financing Transaction Regulation), i.e. the Regulation of the European Parliament and Council (EU) 2015/2365 of November 25, 2015 on the transparency of transactions financed using securities and re-use and amendment of Regulation (EU) No. 648/2012. The reporting obligation concerns transactions such as: securities and commodities lending, repo and reverse repo, buy sell-back and sell buy-back, margin lending.

The first group of entities started reporting SFT transactions on 13th of July, 2020.

## The sftR OBLIGO system provides:

- Import of the data from the bank's IT systems, including transactions reported as part of backloading,
- Validation of input data according to algorithms defined by Trade Repository (TR),
- Viewing and searching transaction data in the sftR OBLIGO data register,
- Making corrections in the data and viewing the history of changes,
- Creating and sending an XML file with a structure consistent with the requirements of TR,
- Receipt of response messages from TR and their appropriate interpretation (acceptance / rejection of the transaction, presentation of the rejection reason),
- Receiving reconciliation messages,
- Exporting data to xlsx or csv file,
- Creating error logs and statistical reports.

## Diagram of the reporting process:



## System modules:

- **Import Module** - enabling the import of transaction data, run automatically or manually, and viewing reports on the power supply process with an indication of identified errors,
- **Transactions Module** - the storage of transaction data and their editing, copying and browsing the history of data changes,
- **Correction Module** - enabling loading corrections from a batch file and reviewing introduced changes,
- **Communication Module** - enabling conversion and generation of files compatible with the scheme required by the Trade Repository and their automatic sending, as well as automatic import/receiving of responses,
- **Process Module** - enabling management of processes (tasks) run automatically or manually by the user,
- **Reports module** - enabling to view and download generated reports of transactions, mutations, reconciliation and statistical reports to external files,
- **Parametrization Module** - enabling dictionary management and loading files with standardization, validation and data filtering rules,
- **Administration Module** - supporting the configuration of system parameters, e-mail notifications, viewing the event log.

## Benefits of implementing the System:

- Meeting the reporting obligation imposed by SFTR,
- Saving time of the institution's employees due to process automation, performing key functions in the system (e.g. data import, report generation, sending and receiving messages from TR),
- Timely reporting provided by automatic mechanisms of message selection for sending on the day + 1,
- The ability to verify data immediately before sending to TR thanks to the manual approval of transactions for sending,
- Guarantee of sending a technically correct report thanks to xml message validation rules for compliance with the TR scheme,
- Easy control of the reporting process due to sent e-mail notifications and presentation of statistics in the application,
- Minimization of costs due to process service automation.

## We provide:

- IT and substantive support for the Customer during the entire process of software analysis and implementation,
- Ongoing supervision over legislative changes and adaptation of the software to current legal requirements,
- The possibility of presenting the System operating on our test environment.

We are at your disposal for any questions.

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